

# MCA PART II

## Paper-XIII

### Topic: Process

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# Chapter 3: Processes

- Process Concept
- Process Scheduling
- Operations on Processes
- Interprocess Communication
- Examples of IPC Systems
- Communication in Client-Server Systems

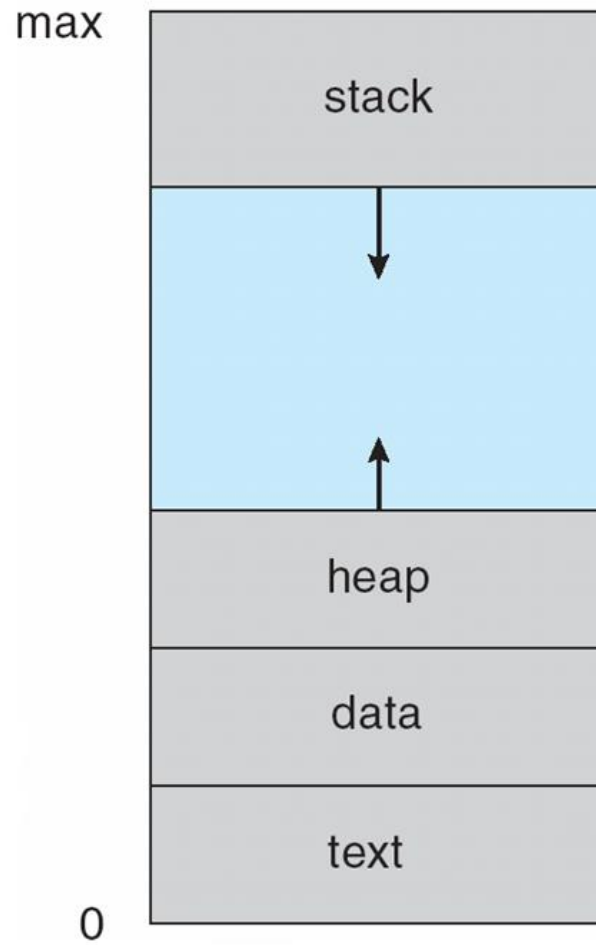
# Process Concept

- An operating system executes a variety of programs:
  - Batch system – **jobs**
  - Time-shared systems – **user programs** or **tasks**
- Textbook uses the terms **job** and **process** almost interchangeably
- **Process** – a program in execution; process execution must progress in sequential fashion
- Multiple parts
  - The program code, also called **text section**
  - Current activity including **program counter**, processor registers
  - **Stack** containing temporary data
    - Function parameters, return addresses, local variables
  - **Data section** containing global variables
  - **Heap** containing memory dynamically allocated during run time

# Process Concept (Cont.)

- Program is *passive* entity stored on disk (**executable file**), process is *active*
  - Program becomes process when executable file loaded into memory
- Execution of program started via GUI mouse clicks, command line entry of its name, etc
- One program can be several processes
  - Consider multiple users executing the same program

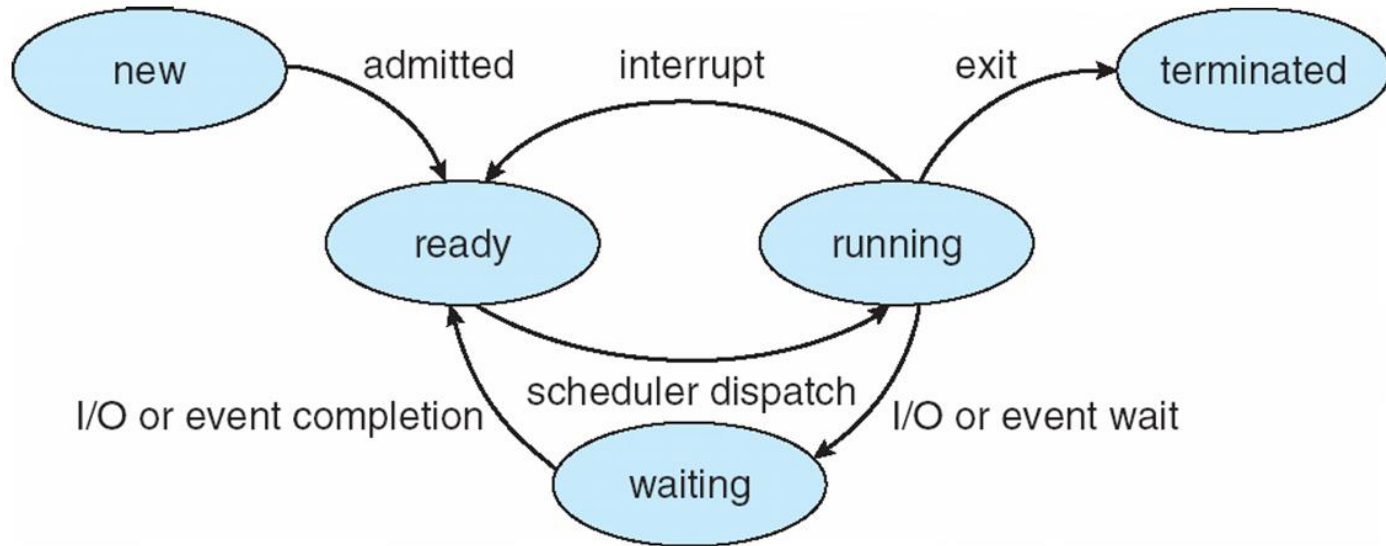
# Process in Memory



# Process State

- As a process executes, it changes **state**
  - **new**: The process is being created
  - **running**: Instructions are being executed
  - **waiting**: The process is waiting for some event to occur
  - **ready**: The process is waiting to be assigned to a processor
  - **terminated**: The process has finished execution

# Diagram of Process State

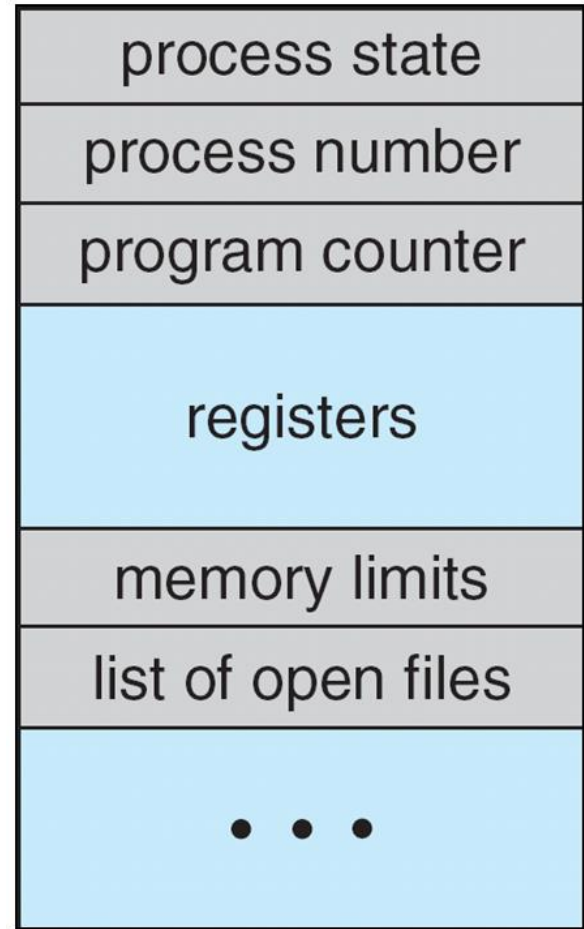


# Process Control Block (PCB)

Information associated with each process

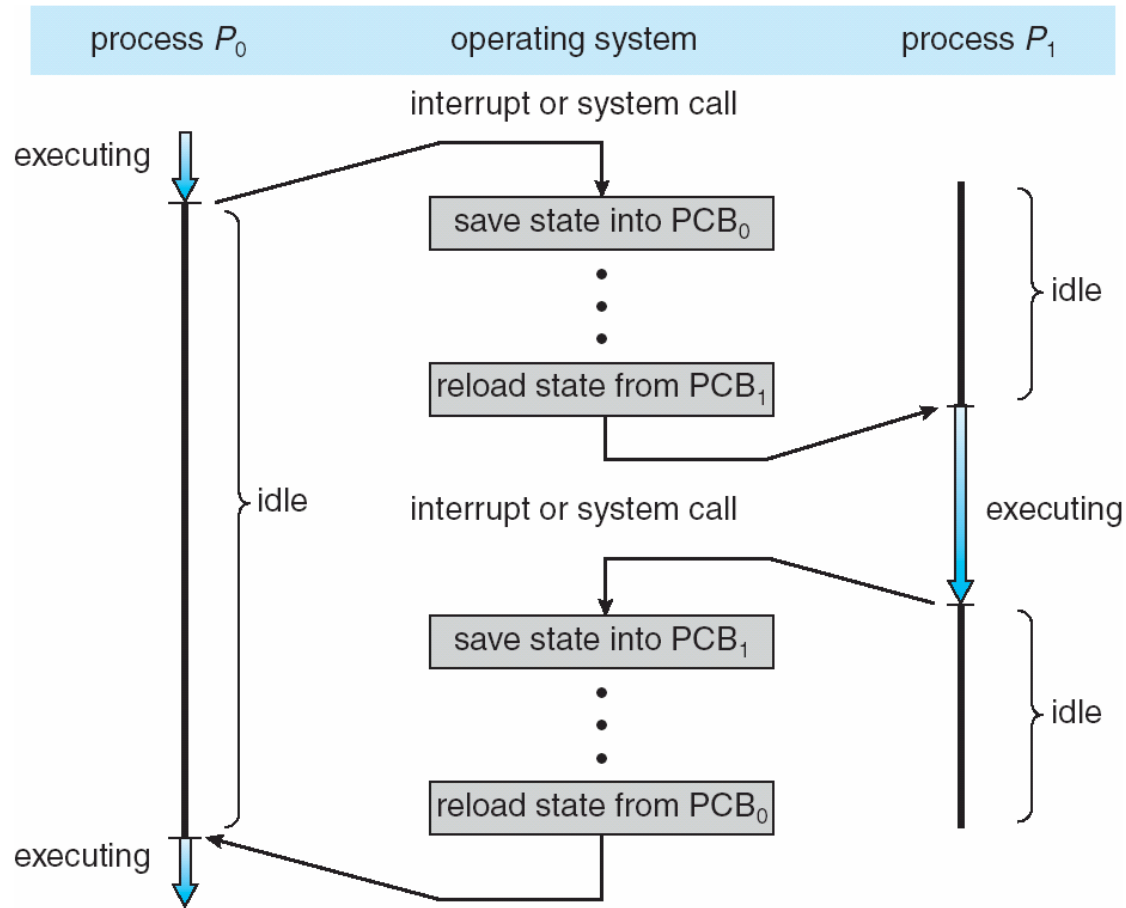
(also called **task control block**)

- ▶ Process state – running, waiting, etc
- ▶ Program counter – location of instruction to next execute
- ▶ CPU registers – contents of all process-centric registers
- ▶ CPU scheduling information- priorities, scheduling queue pointers
- ▶ Memory-management information – memory allocated to the process
- ▶ Accounting information – CPU used, clock time elapsed since start, time limits
- ▶ I/O status information – I/O devices allocated to process, list of open files





# CPU Switch From Process to Process



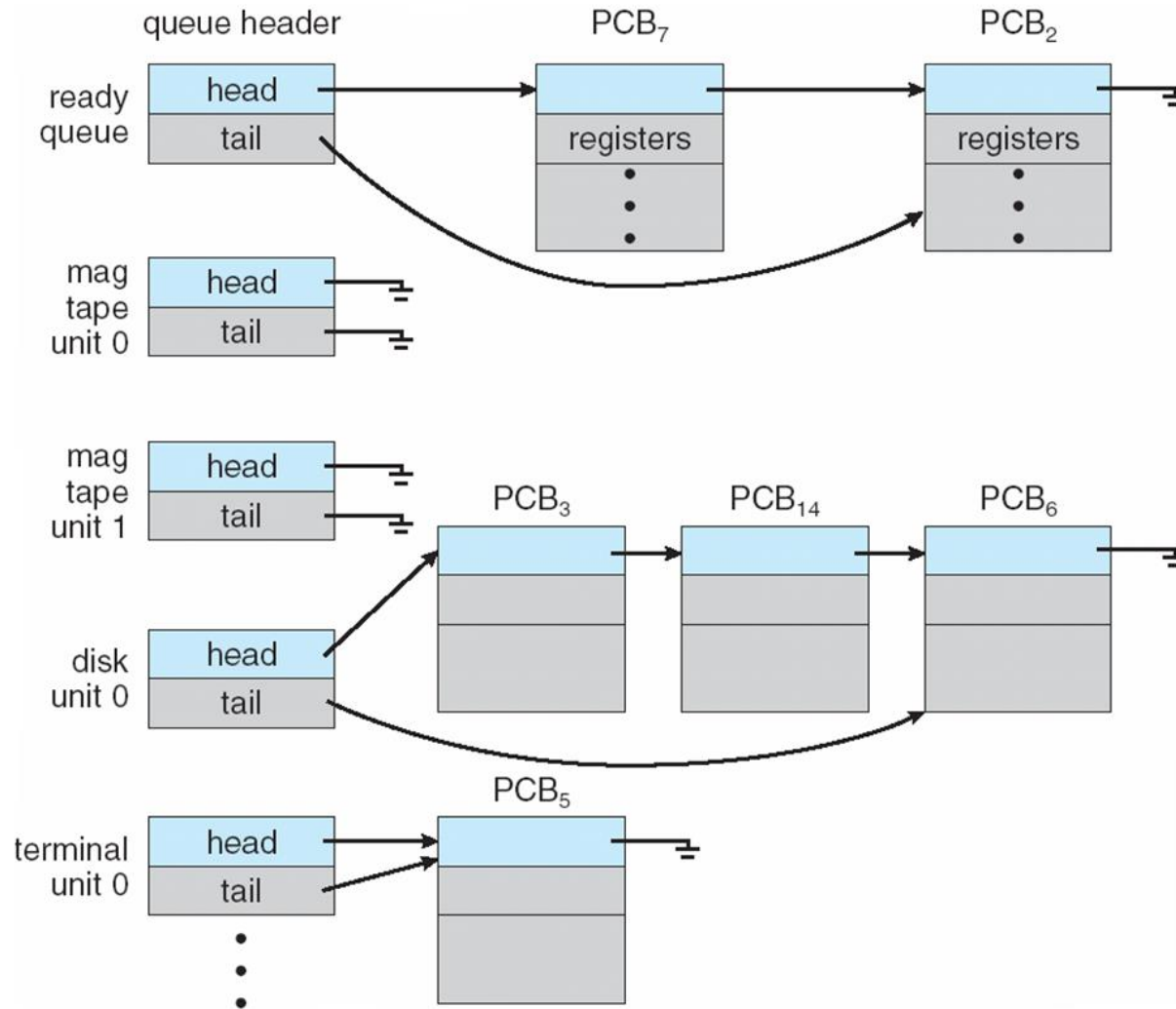
# Threads

- So far, process has a single thread of execution
- Consider having multiple program counters per process
  - Multiple locations can execute at once
    - Multiple threads of control -> **threads**
- Must then have storage for thread details, multiple program counters in PCB
- See next chapter

# Process Scheduling

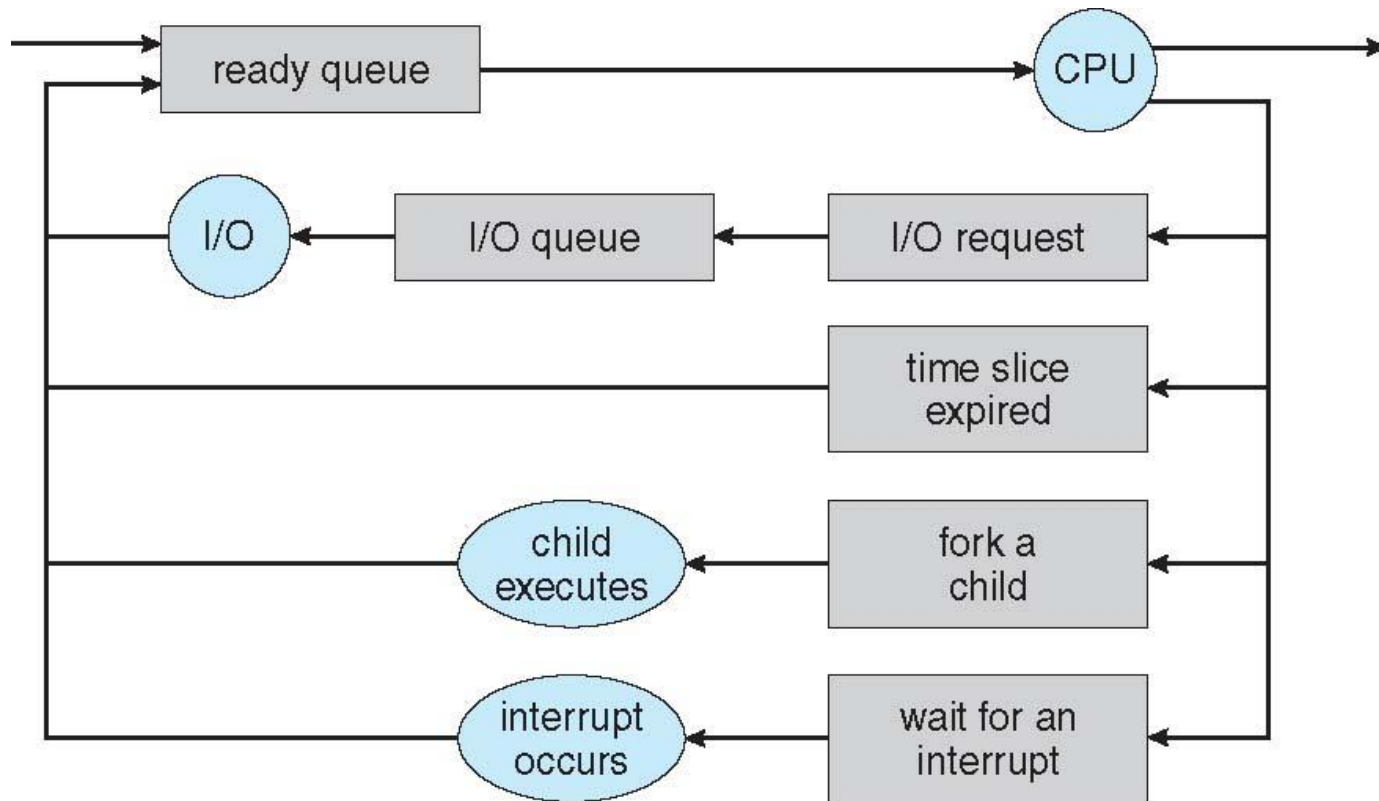
- Maximize CPU use, quickly switch processes onto CPU for time sharing
- **Process scheduler** selects among available processes for next execution on CPU
- Maintains **scheduling queues** of processes
  - **Job queue** – set of all processes in the system
  - **Ready queue** – set of all processes residing in main memory, ready and waiting to execute
  - **Device queues** – set of processes waiting for an I/O device
  - Processes migrate among the various queues

# Ready Queue And Various I/O Device Queues



# Representation of Process Scheduling

- **Queueing diagram** represents queues, resources, flows

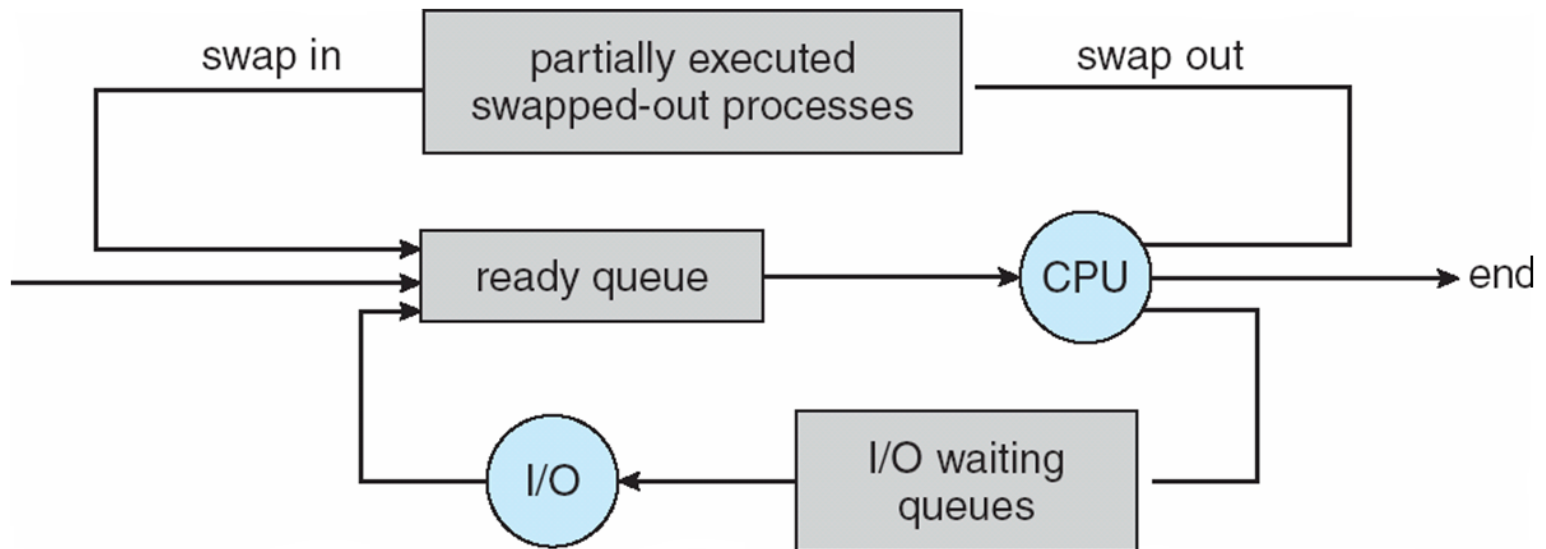


# Schedulers

- ▶ **Short-term scheduler** (or **CPU scheduler**) – selects which process should be executed next and allocates CPU
  - ▶ Sometimes the only scheduler in a system
  - ▶ Short-term scheduler is invoked frequently (milliseconds)  $\Rightarrow$  (must be fast)
- ▶ **Long-term scheduler** (or **job scheduler**) – selects which processes should be brought into the ready queue
  - ▶ Long-term scheduler is invoked infrequently (seconds, minutes)  $\Rightarrow$  (may be slow)
  - ▶ The long-term scheduler controls the **degree of multiprogramming**
- ▶ Processes can be described as either:
  - ▶ **I/O-bound process** – spends more time doing I/O than computations, many short CPU bursts
  - ▶ **CPU-bound process** – spends more time doing computations; few very long CPU bursts
- ▶ Long-term scheduler strives for good *process mix*

# Addition of Medium Term Scheduling

- **Medium-term scheduler** can be added if degree of multiple programming needs to decrease
  - Remove process from memory, store on disk, bring back in from disk to continue execution: **swapping**



# Multitasking in Mobile Systems

- Some mobile systems (e.g., early version of iOS) allow only one process to run, others suspended
- Due to screen real estate, user interface limits iOS provides for a
  - Single **foreground** process- controlled via user interface
  - Multiple **background** processes– in memory, running, but not on the display, and with limits
  - Limits include single, short task, receiving notification of events, specific long-running tasks like audio playback
- Android runs foreground and background, with fewer limits
  - Background process uses a **service** to perform tasks
  - Service can keep running even if background process is suspended
  - Service has no user interface, small memory use



# Context Switch

- When CPU switches to another process, the system must **save the state** of the old process and load the **saved state** for the new process via a **context switch**
- **Context** of a process represented in the PCB
- Context-switch time is overhead; the system does no useful work while switching
  - The more complex the OS and the PCB → the longer the context switch
- Time dependent on hardware support
  - Some hardware provides multiple sets of registers per CPU → multiple contexts loaded at once

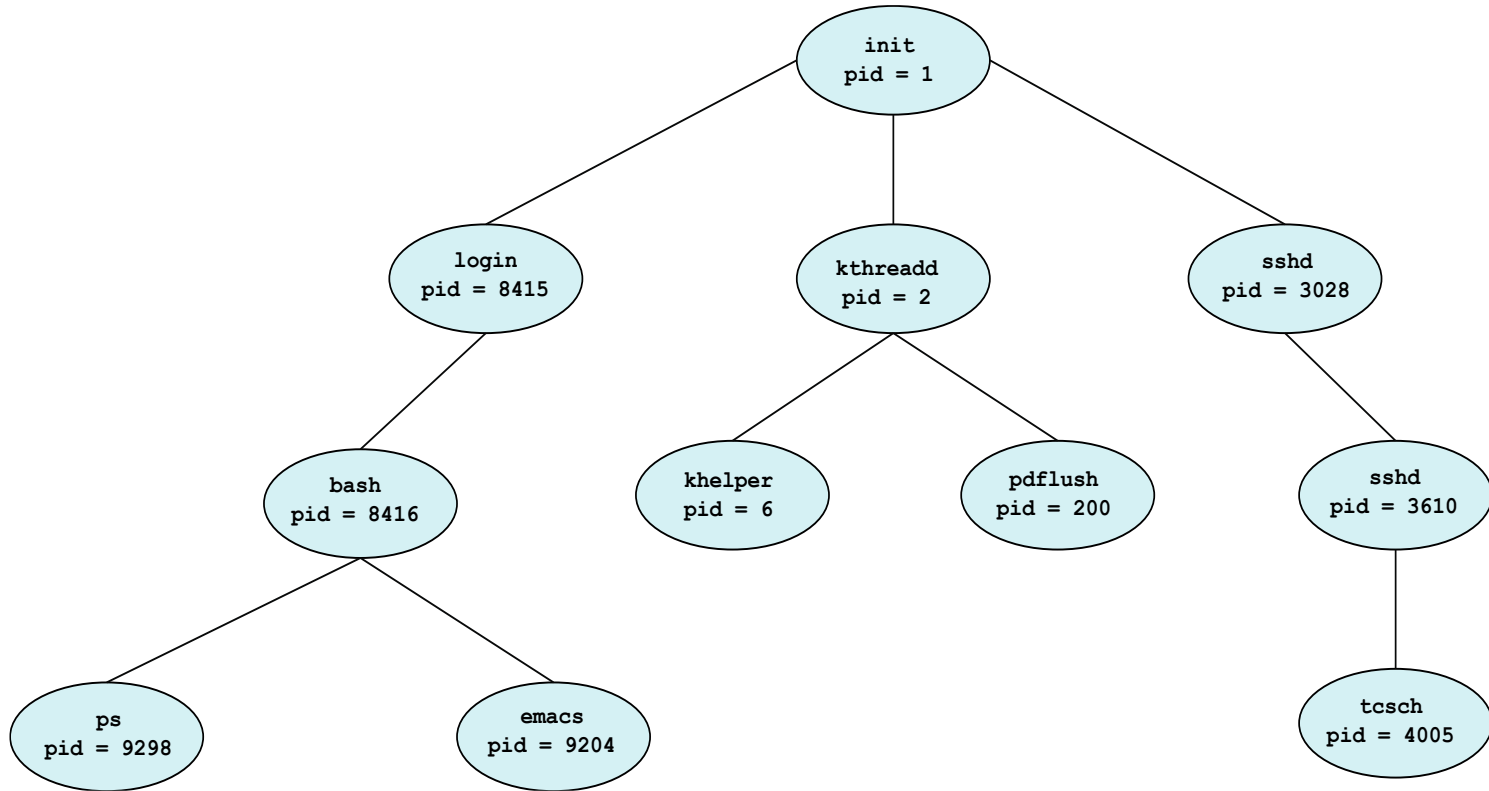
# Operations on Processes

- System must provide mechanisms for:
  - process creation,
  - process termination,
  - and so on as detailed next

# Process Creation

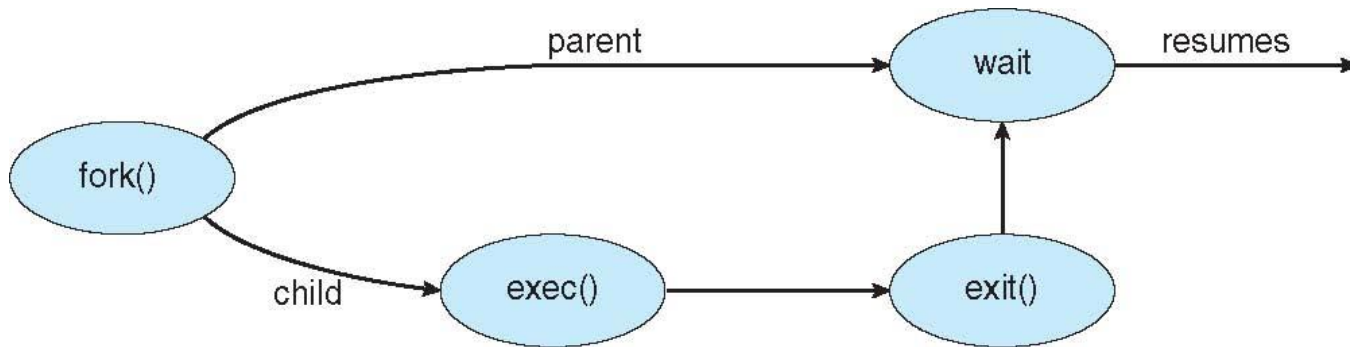
- **Parent** process create **children** processes, which, in turn create other processes, forming a **tree** of processes
- Generally, process identified and managed via a **process identifier (pid)**
- Resource sharing options
  - Parent and children share all resources
  - Children share subset of parent' s resources
  - Parent and child share no resources
- Execution options
  - Parent and children execute concurrently
  - Parent waits until children terminate

# A Tree of Processes in Linux



# Process Creation (Cont.)

- Address space
  - Child duplicate of parent
  - Child has a program loaded into it
- UNIX examples
  - **fork ()** system call creates new process
  - **exec ()** system call used after a **fork ()** to replace the process' memory space with a new program



# Process Termination

- Process executes last statement and then asks the operating system to delete it using the **exit()** system call.
  - Returns status data from child to parent (via **wait()**)
  - Process' resources are deallocated by operating system
- Parent may terminate the execution of children processes using the **abort()** system call. Some reasons for doing so:
  - Child has exceeded allocated resources
  - Task assigned to child is no longer required
  - The parent is exiting and the operating systems does not allow a child to continue if its parent terminates

# Process Termination

- ▶ Some operating systems do not allow child to exist if its parent has terminated. If a process terminates, then all its children must also be terminated.
  - ▶ **cascading termination.** All children, grandchildren, etc. are terminated.
  - ▶ The termination is initiated by the operating system.
- ▶ The parent process may wait for termination of a child process by using the **wait()** system call. The call returns status information and the pid of the terminated process

```
pid = wait(&status);
```

- ▶ If no parent waiting (did not invoke **wait()**) process is a **zombie**
- ▶ If parent terminated without invoking **wait**, process is an **orphan**

# Multiprocess Architecture – Chrome Browser

- Many web browsers ran as single process (some still do)
  - If one web site causes trouble, entire browser can hang or crash
- Google Chrome Browser is multiprocess with 3 different types of processes:
  - **Browser** process manages user interface, disk and network I/O
  - **Renderer** process renders web pages, deals with HTML, Javascript. A new renderer created for each website opened
    - Runs in **sandbox** restricting disk and network I/O, minimizing effect of security exploits
  - **Plug-in** process for each type of plug-in

